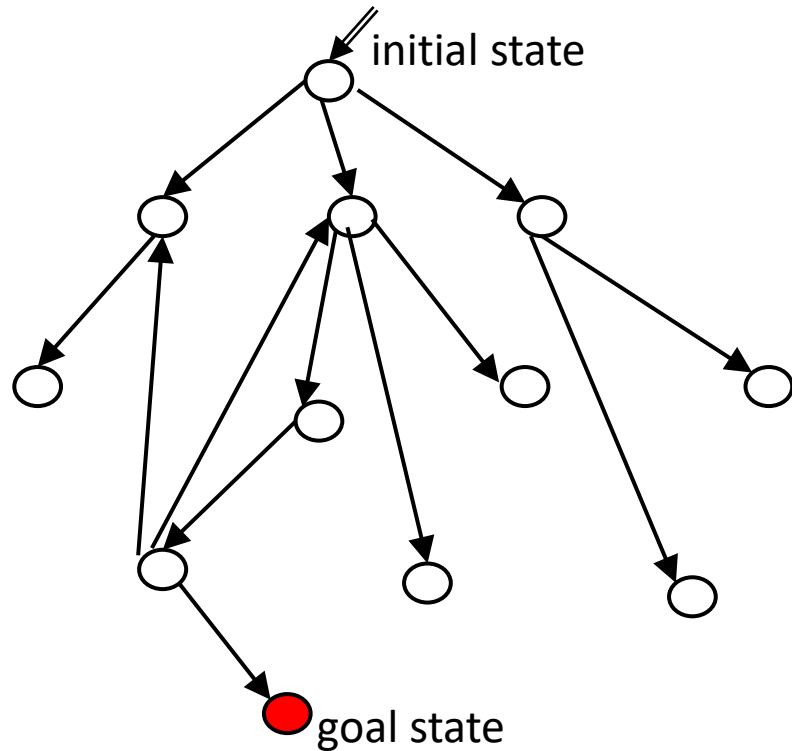


On Higher-Order Reachability Games vs May Reachability

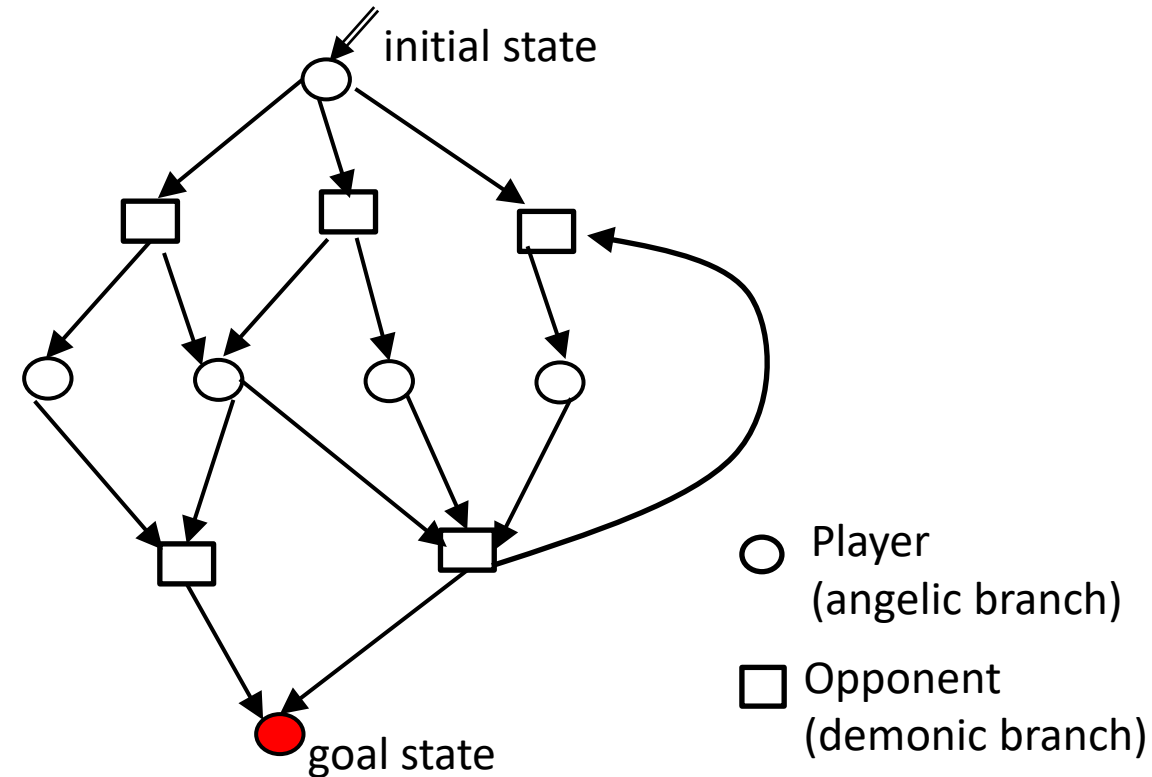
Kazuyuki Asada
Tohoku University

Hirooyuki Katsura **Naoki Kobayashi**
The University of Tokyo

May Reachability vs Reachability Games

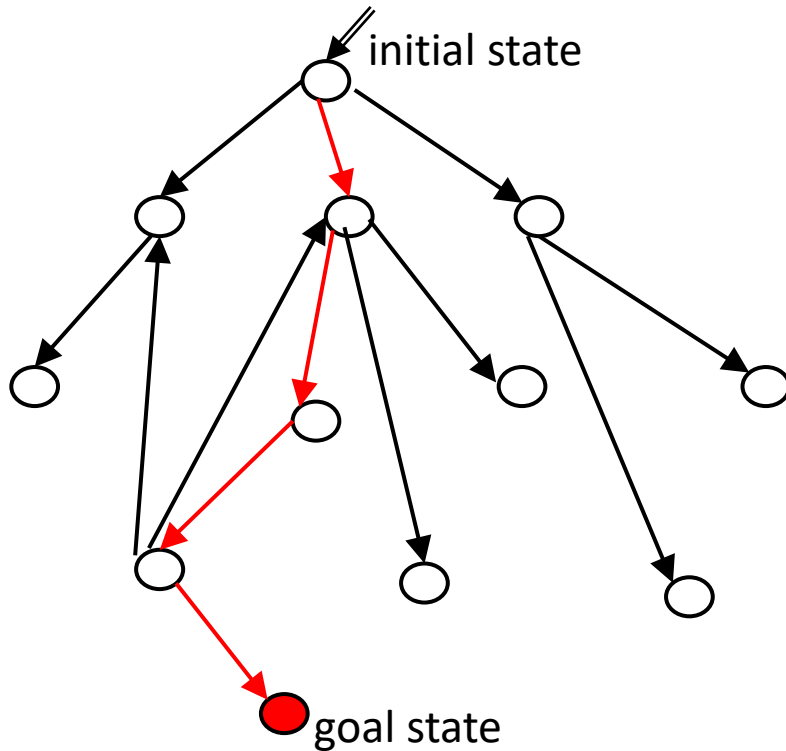


Does there exist a path to the goal state?

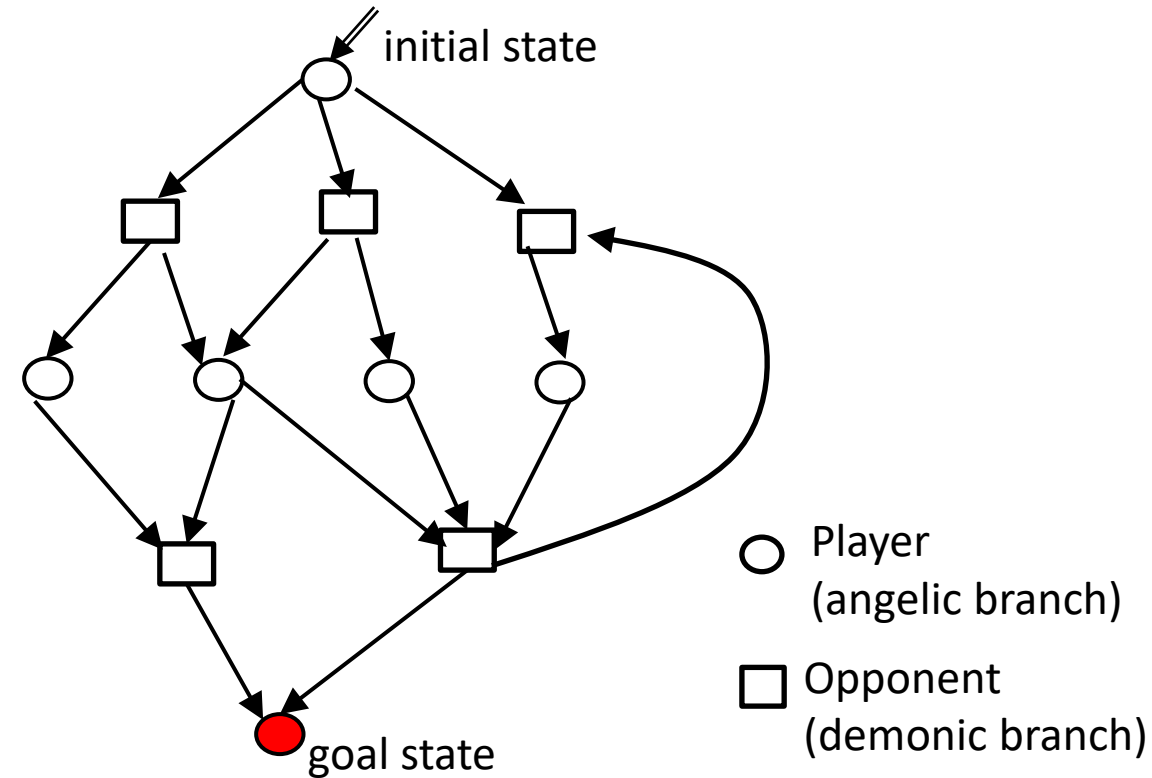


Can the goal state be reached
whatever move the opponent (□) chooses?

May Reachability vs Reachability Games

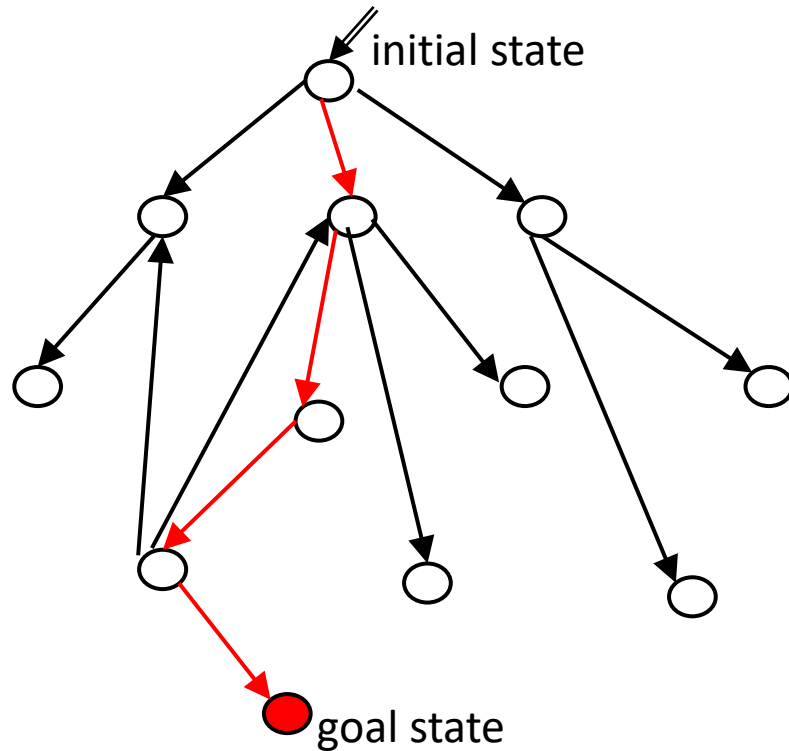


Does there exist a path to the goal state?

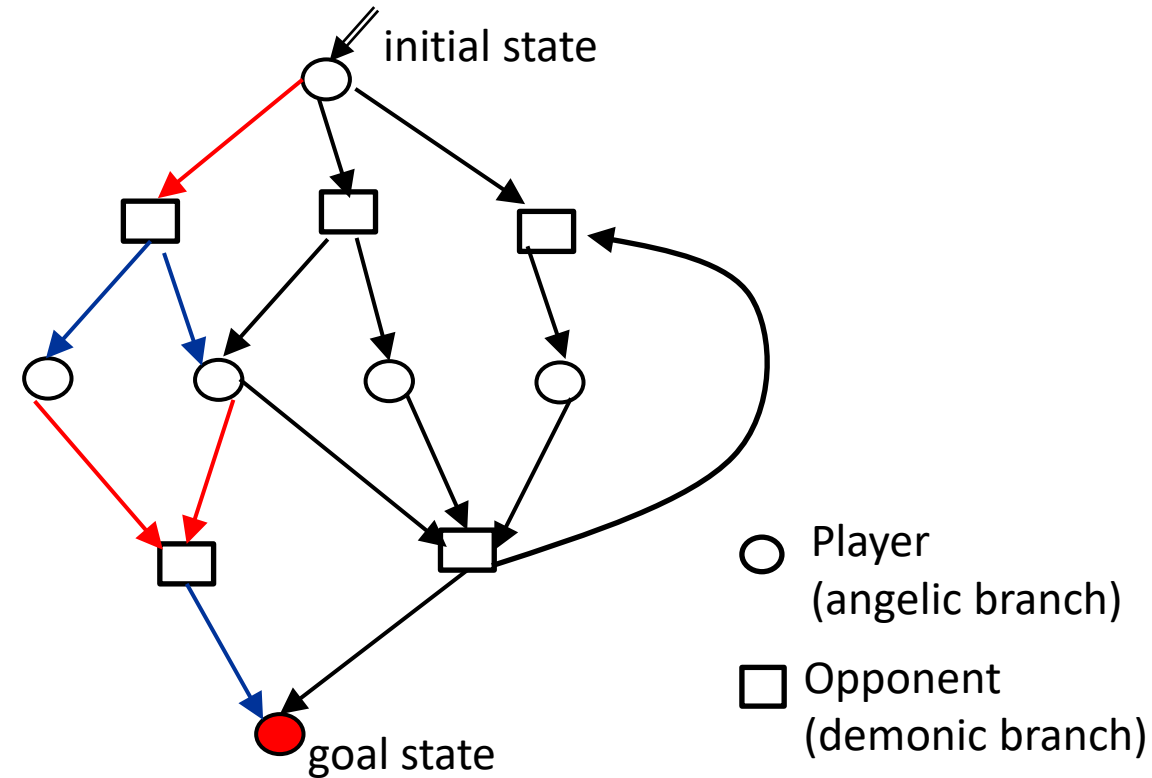


Can the goal state be reached whatever move the opponent (\square) chooses?

May Reachability vs Reachability Games

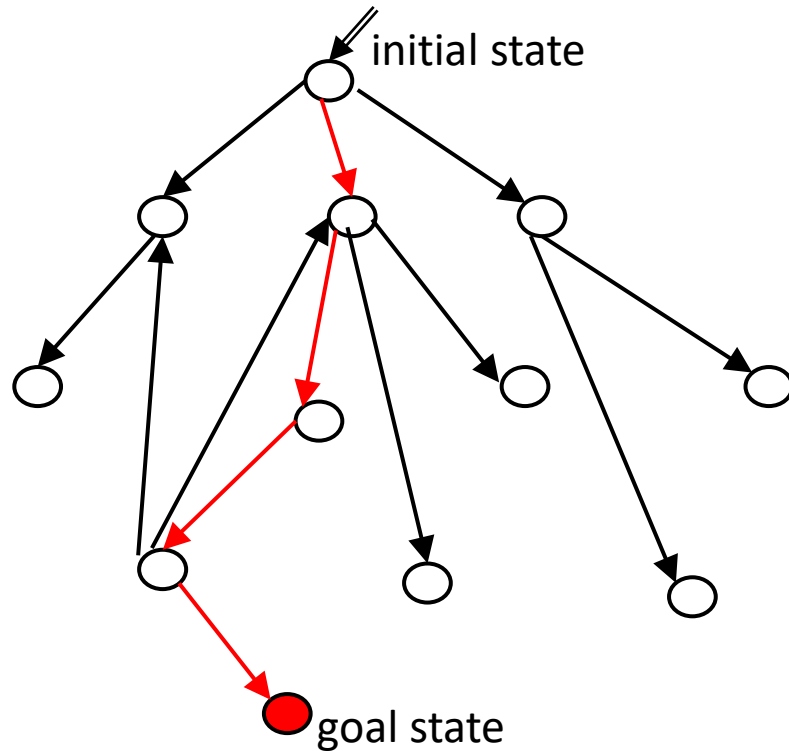


Does there exist a path to the goal state?



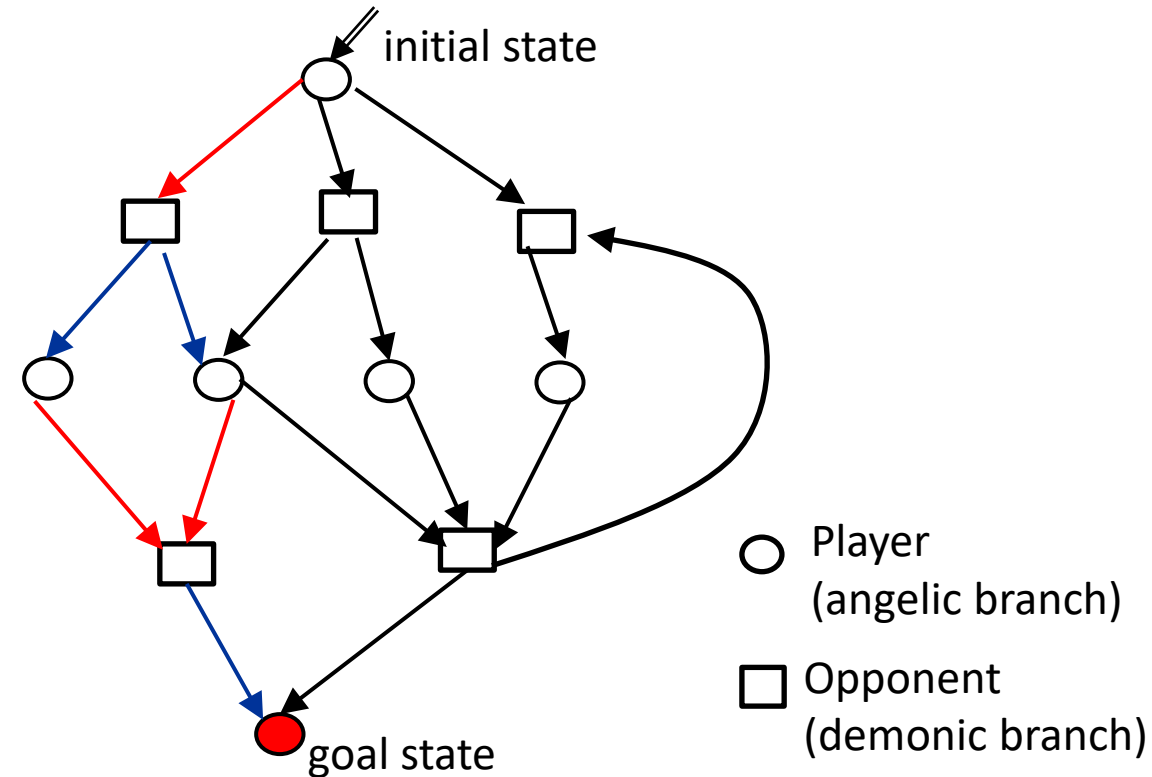
Can the goal state be reached whatever move the opponent (□) chooses?

May Reachability vs Reachability Games



Does there exist a path to the goal state?

Does a program reach an error state?



Can the goal state be reached whatever move the opponent (□) chooses?

May a program reach an error state in the presence of angelic/demonic non-determinism?

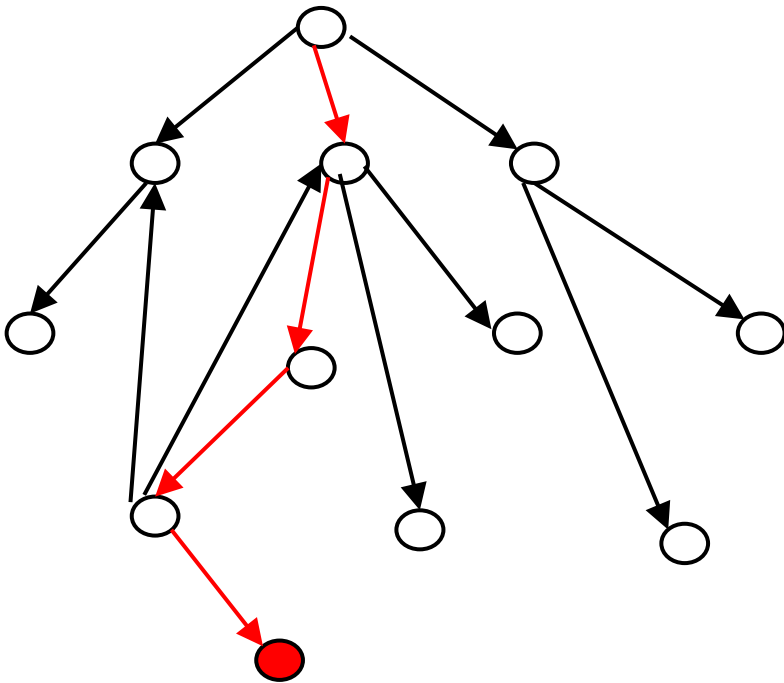
Does a program always terminate?

Our Result

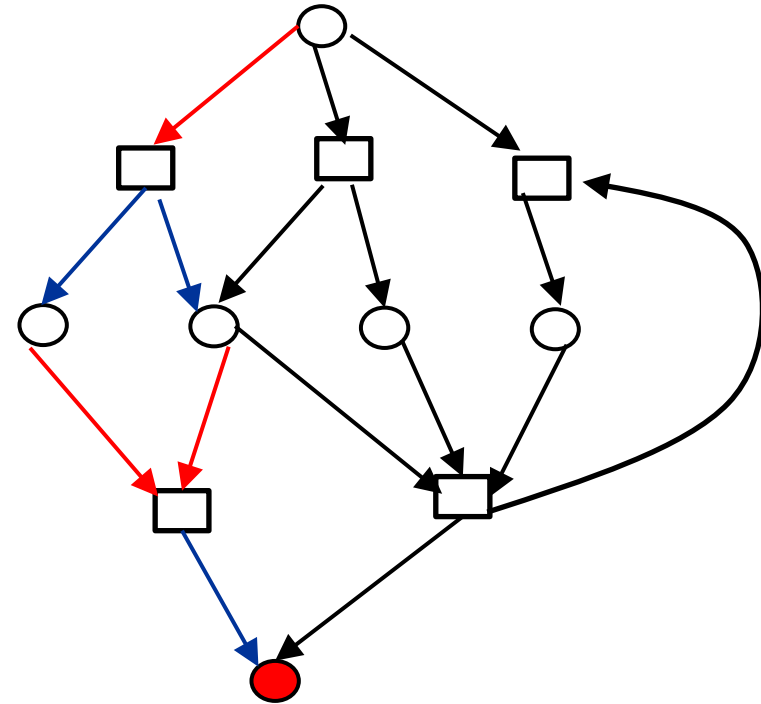
For reachability games generated by higher-order call-by-name functional programs,
order-(n+1) may-reachability \approx order-n reachability games

order-0: functions may take only integer arguments

order-(n+1): functions may take order-n functions



Does there exist a path to the goal state?



Can the goal state be reached
whatever move the opponent (\square) chooses?

Outline

- ◆ $\mu\text{HFL}(\mathbb{Z})$ and higher-order reachability games
- ◆ From order- n reachability games to order- $(n+1)$ may-reachability
- ◆ From order- $(n+1)$ may-reachability to order- n reachability games
- ◆ Applications
- ◆ Related work and conclusion

$\mu\text{HFL}(\mathbb{Z})$: higher-order logic with integers and least fixpoints

(HFL [Viswanathan&Viswanathan 04] – $(\nu, \langle a \rangle, [a]) + \text{integers}$)

$\varphi ::= \text{true} \mid \varphi_1 \wedge \varphi_2 \mid \varphi_1 \vee \varphi_2 \mid X \mid \mu X^\kappa. \varphi \mid \lambda X^\tau. \varphi \mid \varphi_1 \varphi_2$
 $\mid \varphi \ e \mid e_1 \leq e_2$

$e ::= n \mid X \mid e_1 + e_2 \mid e_1 \times e_2$

$\kappa ::= o \mid \tau \rightarrow \kappa \quad \tau ::= \kappa \mid \text{int}$

The least X s.t. $X = \varphi$

$\text{order}(o) = 0 \quad \text{order}(\text{int}) = -1 \quad \text{order}(\tau \rightarrow \kappa) = \max(1 + \text{order}(\tau), \text{order}(\kappa))$

e.g. $\text{order}(\text{int} \rightarrow \text{int} \rightarrow o) = 0$, $\text{order}((\text{int} \rightarrow o) \rightarrow o) = 1$

$\text{order}(\varphi) :=$ the largest $\text{order}(\kappa)$ such that $\mu X^\kappa. \varphi$ occurs in φ

Example:

$(\mu Y^{\text{int} \rightarrow o}. \lambda x. P(x) \vee Y(x+1)) 0$

$\equiv (\lambda x. P(x) \vee (\mu Y. \lambda x. \dots)(x+1)) 0$

$\equiv P(0) \vee (\mu Y. \lambda x. P(x) \vee Y(x+1)) 1$

$\equiv P(0) \vee P(1) \vee \dots$

$\equiv \exists x \geq 0. P(x)$

$Y \ 0$ where:

$Y \ x =_\mu P(x) \vee Y(x+1)$

Reachability games as $\mu\text{HFL}(\mathbf{Z})$ formulas

```
let rec sum n k =
  if n ≤ 0 then k 0
  else sum (n-1) λr.k(r+n)
in sum m (λr.assert(m ≤ r))
```

May an assertion failure occur?



```
Sum m (λr. m > r)
where
  Sum n k =μ (n ≤ 0 ∧ k 0) ∨
            (n > 0 ∧ Sum (n-1) λr.k(r+n))
```

Is the formula valid? (Answer: No)

```
let rec f () =
  if flip() then
    if input() then () else f()
  else f()
in f()
```

demonic branch

angelic branch

Does the program always terminate if an appropriate input is given from the environment?



```
F
where
  F =μ (true ∨ F) ∧ F
```

Is the formula valid? (Answer: No)

Disjunctive $\mu\text{HFL}(\mathbf{Z})$

$$\begin{aligned} \varphi ::= & \text{true} \mid \mathbf{e}_1 \leq \mathbf{e}_2 \wedge \varphi \mid \varphi_1 \vee \varphi_2 \mid X \mid \mu X^{\kappa}.\varphi \mid \lambda X^{\tau}.\varphi \mid \varphi_1 \varphi_2 \\ & \mid \varphi \mathbf{e} \mid \mathbf{e}_1 \leq \mathbf{e}_2 \\ \mathbf{e} ::= & n \mid X \mid \mathbf{e}_1 + \mathbf{e}_2 \mid \mathbf{e}_1 \times \mathbf{e}_2 \\ \kappa ::= & o \mid \tau \rightarrow \kappa \qquad \tau ::= \kappa \mid \text{int} \end{aligned}$$

Disjunctive

Sum m ($\lambda r. m > r$)

where

$$\text{Sum } n \ k =_{\mu} (n \leq 0 \wedge k \ 0) \vee \\ (n > 0 \wedge \text{Sum } (n-1) \ \lambda r. k(r+n))$$

Non-disjunctive

F

where

$$F =_{\mu} (\text{true} \vee F) \wedge F$$

Main Result

There exist size- and semantics-preserving translations between
order- n closed $\mu\text{HFL}(Z)$ formulas (i.e., order- n reachability games)
and
order- $(n+1)$ closed disjunctive $\mu\text{HFL}(Z)$ formulas (i.e., order- $(n+1)$ may-reachability).

e.g.

order-0

$$\exists y. (\mu S. \lambda n. \lambda x. (n \leq 0 \wedge m = 0) \vee \\ (n > 0 \wedge \exists r. S(n-1) r \wedge x = r + n)) m y \\ \wedge m > y$$

order-1 disjunctive

$$(\mu S. \lambda n. \lambda k. (n \leq 0 \wedge k = 0) \vee \\ (n > 0 \wedge S(n-1) \lambda r. k(r+n)) m (\lambda r. m > r))$$
$$\exists y. (\mu S. \lambda n. \lambda x. \lambda b. (n \leq 0 \wedge m = 0) \\ \vee (n > 0 \wedge \exists r. S(n-1) r (x = r + n \wedge b))) m y (m > y)$$

Outline

- ◆ $\mu\text{HFL}(\mathbb{Z})$ and higher-order reachability games
- ◆ From order- n reachability games to order- $(n+1)$ may-reachability
- ◆ From order- $(n+1)$ may-reachability to order- n reachability games
- ◆ Applications
- ◆ Related work and conclusion

From order-n reachability games to order-(n+1) may-reachability

◆ Idea: translate a truth value b to $\lambda x. b \wedge x$

$$[\text{true}] = \lambda x. x \quad [\text{false}] = \lambda x. \text{false}$$

$$[\varphi_1 \wedge \varphi_2] = \lambda x. [\varphi_1]([\varphi_2]x) \quad [\varphi_1 \vee \varphi_2] = \lambda x. [\varphi_1]x \vee [\varphi_2]x$$

$$[X] = X \quad [\mu X^K. \varphi] = \mu X^{[K]}. [\varphi] \quad [\lambda X^\tau. \varphi] = \lambda X^{[\tau]}. [\varphi] \quad \dots$$

$$[e_1 \leq e_2] = \lambda x. e_1 \leq e_2 \wedge x$$

$$[\text{Int}] = \text{Int} \quad [o] = o \rightarrow o \quad [\tau \rightarrow \kappa] = [\tau] \rightarrow [\kappa]$$

$\mu\text{HFL}(Z)$:

$$\varphi ::= \text{true} \mid \varphi_1 \wedge \varphi_2 \mid \varphi_1 \vee \varphi_2 \mid X \mid \mu X^K. \varphi \mid \lambda X^\tau. \varphi \mid \varphi_1 \varphi_2 \mid \varphi e \mid e_1 \leq e_2$$

Disjunctive $\mu\text{HFL}(Z)$:

$$\varphi ::= \text{true} \mid e_1 \leq e_2 \wedge \varphi \mid \varphi_1 \vee \varphi_2 \mid X \mid \mu X^K. \varphi \mid \lambda X^\tau. \varphi \mid \varphi_1 \varphi_2 \mid \varphi e \mid e_1 \leq e_2$$

Outline

- ◆ $\mu\text{HFL}(\mathbb{Z})$ and higher-order reachability games
- ◆ From order- n reachability games to order- $(n+1)$ may-reachability
- ◆ From order- $(n+1)$ may-reachability to order- n reachability games
 - From order-1 to order-0
 - General case
- ◆ Applications
- ◆ Related work and conclusion

From Order-1 May Rechability to Order-0 Rechability Game

◆ Consider:

$$\varphi \text{ m } p_1 \dots p_k$$

where: $\varphi: \text{int} \rightarrow (\text{int} \rightarrow o)^k \rightarrow o$, $m:\text{int}$, $p_i: \text{int} \rightarrow o$.

$\varphi \text{ m } p_1 \dots p_k \rightarrow^* \text{true}$ if

(1) $\varphi \text{ m } (\lambda x.\text{false}) \dots (\lambda x.\text{false}) \rightarrow^* \text{true}$; or

(2) $\varphi \text{ m } p_1 \dots p_k \rightarrow^* p_i \text{ n} \rightarrow^* \text{true}$ for some i, n .

Let $\varphi_0 \text{ m} \Leftrightarrow \varphi \text{ m } (\lambda x.\text{false}) \dots (\lambda x.\text{false})$

$$\varphi_i \text{ m } n \Leftrightarrow \varphi \text{ m } p_1 \dots p_k \rightarrow^* p_i \text{ n}.$$

Then $\varphi \text{ m } p_1 \dots p_k$ is equivalent to the order-0 formula:

$$\varphi_0 \text{ m} \vee \exists n. (\varphi_1 \text{ m } n \wedge p_1 \text{ n}) \vee \dots \vee \exists n. (\varphi_k \text{ m } n \wedge p_k \text{ n})$$

From Order-1 May Rechability to Order-0 Rechability Game

◆ Example: $\text{sum } n \ (\lambda r. r < n)$ where:

$$\text{sum } x \ k =_{\mu} x < 0 \vee (x = 0 \wedge k \ 1) \vee (x > 0 \wedge \text{sum } (x-1) \ (\lambda r. k(r \times n)))$$

Equivalent order-0 formula:

$$\text{sum}_0 \ n \vee \exists r. (\text{sum}_1 \ x \ r \wedge r < n)$$

where:

condition for $\text{sum } x \ k \rightarrow^* \text{true}$ (without using k)

$$\text{sum}_0 \ x =_{\mu} x < 0 \vee (x > 0 \wedge \text{sum}_0 \ (x-1))$$

$$\text{sum}_1 \ x \ y =_{\mu} (x = 0 \wedge y = 1) \vee (x > 0 \wedge \exists r. \text{sum}_1 \ (x-1) \ r \wedge y = r \times n)$$

condition for $\text{sum } x \ k \rightarrow^* k \ y$

Transformation Relation (for the General Case)

$$\blacklozenge \underbrace{\Gamma; x_1, \dots, x_k}_{\text{order-0 free variables}} \vdash \varphi : \tau \Rightarrow \underbrace{(\varphi_*, \varphi_0)}_{\substack{\text{condition for} \\ \text{a predicate embedded} \\ \text{in a higher-order} \\ \text{argument to be reached} \\ \text{(e.g. condition for } G(H \text{ } \color{red}{p}) \text{ } q \rightarrow^* \color{red}{p} \text{ } n)}} \underbrace{\varphi_1, \dots, \varphi_k}_{\text{condition for } x_i \text{ to be reached}} \underbrace{\varphi_{k+1}, \dots, \varphi_{k+m}}_{\text{condition for } j\text{-th order-0 argument to be reached (} j=1, \dots, m)}$$

$$\text{decomp}(\tau) = (\epsilon, m-1, p)$$

$$\mathcal{K}; \tilde{x}_1, \dots, \tilde{x}_k \vdash_{\Theta} \varphi : (\text{Int}^M \rightarrow \star) \rightarrow \tau \rightsquigarrow (\varphi_*, \varphi_0, \dots, \varphi_{k+m})$$

$$\mathcal{K}; \tilde{x}_1, \dots, \tilde{x}_k \vdash_{\Theta} \psi : \text{Int}^M \rightarrow \star \rightsquigarrow (\psi_*, \psi_0, \dots, \psi_k)$$

$$\xi_j = \lambda \tilde{z}_1, \dots, \tilde{z}_p. \lambda \tilde{w}_1, \dots, \tilde{w}_M. \varphi_j \tilde{z} \tilde{w} \vee \exists \tilde{u}_1, \dots, \tilde{u}_M. (\varphi_{k+1} \tilde{z} \tilde{u}_1, \dots, \tilde{u}_M \wedge \psi_j \tilde{u}_1, \dots, \tilde{u}_M \tilde{w}_1, \dots, \tilde{w}_M)$$

$$\mathcal{K}; \tilde{x}_1, \dots, \tilde{x}_k \vdash_{\Theta} \varphi \psi : \tau \rightsquigarrow (\xi_*, \xi_0, \dots, \xi_k, \varphi_{k+2}, \dots, \varphi_{k+m})$$

(TR-APPG)

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Applications

- From order- n reachability games to order- $(n+1)$ may reachability

Improve the efficiency of ν HFL(Z) Solver ReTHFL [Katsura+, APLAS 2020]

Input	ReTHFL	ReTHFL+i.s.	ReTHFL+ tr.
fixpoint_nonterm	11.579	0.054	0.102
unfoldr_nonterm	timeout	unknown	4.22
indirect_e	16.832	0.035	0.066
alternate	unknown	unknown	unknown
fib_CPS_nonterm	timeout	0.047	0.075
foldr_nonterm	8.447	unknown	0.122
passing_cond	116.423	unknown	0.444
indirectHO_e	11.582	0.044	0.073
inf_closure	timeout	20.171	9.080
loopHO	timeout	0.026	0.121

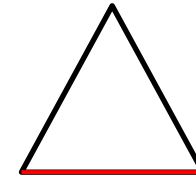
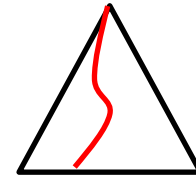
After the translation
from reachability
games to may-
reachability

- From order- $(n+1)$ may-reachability to reachability games

Helps us to avoid a limitation caused by the incompleteness of ReTHFL.

Related Work

- ◆ **Order-($n+1$) Word Languages = Order- n Frontier Languages**
(special case: context-free languages = frontier languages of regular tree grammars)
 - for safe grammars [Damm 1982]
 - for unsafe grammars [Asada&K, FSCD20]
- ◆ **Order- n fixpoint characterization of order-($n+1$) probabilistic higher-order recursive programs [K+, LICS19]**
- ◆ **n -EXPTIME completeness of disjunctive properties of order-($n+1$) HORS [K&Ong, ICALP09]**



Conclusion

◆ We have shown:

Order-(n+1) may reachability \approx Order-n reachability games

through fixpoint logic $\mu\text{HFL}(\mathbb{Z})$

◆ Applications to $\nu\text{HFL}(\mathbb{Z})$ solvers

(higher-order extension of CHC solvers,
which serve as common backend for higher-order program
verification)