## Skolem Meets Schanuel

### Yuri Bilu, Florian Luca, Joris Nieuwveld, Joël Ouaknine, David Purser, James Worrell

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x := 1; y := 0; z := 0;while  $x \neq 0$  do x := 2x + y; y := y + 3 - z;z := -4z + 6;  $\mathbf{x} := \mathbf{a};$ while  $x_1 \neq 0$  do  $\mathbf{x} := \mathbf{M}\mathbf{x};$ 

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#### **Positivity Problem:**

 $\mathbf{x} := \mathbf{a};$ while  $x_1 \ge 0$  do  $\mathbf{x} := \mathbf{M}\mathbf{x};$ 

A linear recurrence sequence (LRS) is a sequence in  $\mathbb{Z}$  (or  $\mathbb{Q}$ )  $\langle u_0, u_1, u_2, \ldots \rangle$  such that there are constants  $a_1, \ldots, a_k$  and,  $\forall n \ge 0: \quad u_{n+k} = a_1 u_{n+k-1} + a_2 u_{n+k-2} + \ldots + a_k u_n.$ 

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- k is the **order** of the sequence
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#### Problem SKOLEM (1934)

<u>Instance</u>: A linear recurrence sequence  $\langle u_0, u_1, u_2, \ldots \rangle$ Question: Does  $\exists n \ge 0$  such that  $u_n = 0$ ?

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#### Problem POSITIVITY (mid-1970s)

<u>Instance</u>: A linear recurrence sequence  $\langle u_0, u_1, u_2, \ldots \rangle$ *Question*: Is it the case that,  $\forall n \ge 0, u_n \ge 0$ ?

# The Skolem Problem: Open for About 90 Years!

"It is faintly outrageous that this problem is still open; it is saying that we do not know how to decide the Halting Problem even for 'linear' automata!"

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"A mathematical embarrassment . . . "

"Arguably, by some distance, the most prominent problem whose decidability status is currently unknown."

**Richard Lipton** 

# The Skolem-Mahler-Lech Theorem

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- Decidability of the Skolem Problem is equivalent to being able to compute the finite set of zeros of any given non-degenerate LRS
- Unfortunately, all known proofs of the Skolem-Mahler-Lech Theorem make use of *non-constructive p*-adic techniques

# Example: Does This Program Halt?

$$x := 1;$$
  
 $y := 0;$   
 $z := 0;$   
while  $x \neq 0$  do  
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$$\begin{array}{l} x \equiv \langle 1,2,1,2,1,2,\ldots \rangle \pmod{3} \\ y \equiv \langle 0,0,0,0,0,0,0,\ldots \rangle \pmod{3} \\ z \equiv \langle 0,0,0,0,0,0,0,\ldots \rangle \pmod{3} \end{array}$$

Consider this Fibonacci variant, starting with  $\langle 2,1\rangle$ :  $\langle 2,1,3,4,7,11,18,29,47,76,123,199,\ldots\rangle$ 

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 $\Rightarrow$  Never zero!

```
How about the "shifted" Fibonacci sequence, starting with (1, 1):
(1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, ...)
(1, 1, 0, 1, 1, 0, 1, 1, 0, ...) (mod 2)
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How about the "shifted" Fibonacci sequence, starting with  $\langle 1, 1 \rangle$ :  $\langle 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, \ldots \rangle$   $\langle \underline{1, 1}, 0, \underline{1, 1}, 0, 1, 1, 0, 1, 1, 0, \ldots \rangle \pmod{2}$   $\langle \underline{1, 1}, 2, 0, 2, 2, 1, 0, \underline{1, 1}, 2, 0, \ldots \rangle \pmod{3}$   $\langle \underline{1, 1}, 2, 3, 1, 0, \underline{1, 1}, 2, 3, 1, 0, \ldots \rangle \pmod{4}$  $\langle 1, 1, 2, 3, 0, 3, 3, 1, 4, 0, 4, 4, 3, 2, 0, 2, 2, 4, 1, 0, 1, 1, 2, \ldots \rangle \pmod{5}$ 

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- A modular argument can *never* work here!
- Because modulo *m*, the sequence is always periodic. But the same pattern (just shifted by 1) would also appear in the true Fibonacci sequence, starting (0,1), and therefore will have to contain infinitely many occurrences of 0!
- The shifted Fibonacci sequence doesn't contain a zero, but is haunted by the ghost of a zero *in its past!*
• Classical Fibonacci,  $u_{n+2} = u_{n+1} + u_n$ : 0, 1, 1, 2, 3, 5, 8, 13, ... • Classical Fibonacci,  $u_{n+2} = u_{n+1} + u_n$ :  $\langle \dots, 13, -8, 5, -3, 2, -1, 1, 0, 1, 1, 2, 3, 5, 8, 13, \dots \rangle$ 

### Reversing Linear Recurence Sequences

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$$u_{n+2} = 2u_{n+1} - u_n$$
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### **Reversing Linear Recurence Sequences**

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• e.g., the Fibonacci sequence:

$$u_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n$$

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Simple LRS correspond precisely to diagonalisable matrices

Theorem (Mignotte, Shorey, Tijdeman 1984; Vereshchagin 1985)

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#### Theorem (O. & Worrell 2014)

- For LRS of order  $\leq$  5, POSITIVITY is decidable.
- For simple LRS of order ≤ 9, POSITIVITY is decidable.
- For LRS of order  $\geq$  6, POSITIVITY is hard with respect to longstanding Diophantine-approximation problems.

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- Decidability of the first-order theory of real arithmetic with exponentiation, subject to Schanuel's Conjecture (Macintyre & Wilkie 1996)
- Many, many results subject to  $P \neq NP$ , or ETH, etc...

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$$u_n = \frac{-1}{a_k} \left( a_{k-1} u_{n+1} + a_{k-2} u_{n+2} + \ldots + a_1 u_{n+k-1} - u_{n+k} \right)$$

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So if  $a_k$  is invertible (mod m), the entire bi-infinite sequence is well-defined in  $\mathbb{Z}/m\mathbb{Z}$ .

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• Example: 
$$u_{n+1} = 2u_n$$
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$$\langle \dots, \frac{1}{32}, \frac{1}{16}, \frac{1}{8}, \frac{1}{4}, \frac{1}{2}, 1, 2, 4, 8, 16, 32, \dots \rangle$$

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### ANWENDUNG EXPONENTIELLER KONGRUENZEN ZUM BEWEIS DER UNLÖSBARKEIT GEWISSER DIOPHANTISCHER GLEICHUNGEN

VON

TH. SKOLEM

Avhandlinger utgitt av Det Norske Videnskaps-Akademi i Oslo I. Mat.-Naturv, Klasse, 1937. No. 12

- A fairly wide-ranging conjecture, formulated in 1937, also known as the **Exponential Local-Global Principle**
- Like Schanuel's Conjecture, widely believed by number theorists, but only proven in special cases

#### The Skolem Conjecture for simple bi-LRS (1937)

Consider the recurrence equation  $u_{n+k} = a_1 u_{n+k-1} + \ldots + a_k u_n$ , with  $u_0, \ldots, u_{k-1}, a_1, \ldots, a_k \in \mathbb{Z}$ . Suppose the bi-LRS  $\langle u_n \rangle_{n=-\infty}^{\infty}$ is simple. Then  $\langle u_n \rangle_{n=-\infty}^{\infty}$  has no zeros iff, for some integer  $m \ge 2$ with  $gcd(m, a_k) = 1$ , we have that for all  $n \in \mathbb{Z}$ ,  $u_n \neq 0 \pmod{m}$ .

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#### Equivalently:

If a simple bi-infinite LRS over the rationals has no zeros, then this will necessarily be witnessed modulo *some* integer m.

### The Skolem Problem for Simple LRS

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- Implemented in our online tool SKOLEM! https://skolem.mpi-sws.org/

Accounts 🔿 Teams

#### SKOLEM: Solves the Skolem Problem for simple integer LRS

#### System Explanation Show/Hide

- · On the first line write the coefficients of the recurrence relation, separated by spaces.
- On the second line write an equal number of space-separated initial values.
- · The LRS must be simple, non-degenerate, and not the zero LRS.
- The tool will output all zeros (at both positive and negative indices), along with a completeness
  certificate.

#### Input area

Auto-fill examples: ShowHide

#### Input Format

 $a_1 \ a_2 \ \ldots \ a_k$ 

 $u_{\theta} \mid u_1 \mid \ldots \mid u_{k-1}$ 

where:

 $u_{n+k} \ = \ a_1 \cdot u_{n+k-1} \ + \ a_2 \cdot u_{n+k-2} \ + \ \ldots \ + \ a_k \cdot u_n$ 

Zero LRS Degenerate LRS Non-simple LRS Trivial Fibonacci Tribonacci Berstel se	guence [1] Order 5 [3] Order 6 [3] Reversible order 8 [3]
Manual input:	
6 -25 66 -120 150 -89 18 -1	
0 0 -48 -120 0 520 624 -2016	
Always render full LRS (otherwise restricted to 400 characters)	
I solemnly swear the LRS is non-degenerate (skips degeneracy check, it will timeout or break if the LRS is degenerate!)	
In the sector subcases (merges subcases into single linear set, sometimes requires higher modulo classes)	
Ise GCD reduction (reduces initial values by GCD)	
Ise fast identification of mod-m (requires GCD reduction) (may result in non-minimal mod-m argument)	
Go Clear Stop	
Output area	
Zeros: 0, 1, 4	
Zero at 0 in (0+ 1Z) hide/show	LRS: u_{n} =
<ul> <li>p-adic non-zero in (0+ 136Z<sub>z0</sub>)</li> </ul>	-2/10131101/1209/4485800352855894034/84615095588980419130303354540/5489/091; 1) +
<ul> <li>Zero at 1 in (1+ 136ℤ) hide/show</li> </ul>	-50875717942553060846492761332069658239718750163652943951247535707239324495!
<ul> <li>p-adic non-zero in (1+ 680Z<sub>±0</sub>) ((0+ 5Z<sub>±0</sub>) of parent)</li> </ul>	2) +
<ul> <li>Non-zero mod 3 in (137+ 6802) ((1+ 52) of parent)</li> </ul>	-1020bb400158b4118991519942b51944/202492215998409bb/43554/9305b8b//82008052k
<ul> <li>Non-zero mod 3 in (273+ 680ℤ) ((2+ 5ℤ) of parent)</li> </ul>	-14120956624060003103644967151812606672989015750648229312685175908046543759[
<ul> <li>Non-zero mod 9 in (409+ 680ℤ) ((3+ 5ℤ) of parent)</li> </ul>	4} +
<ul> <li>Non-zero mod 3 in (545+ 680ℤ) ((4+ 5ℤ) of parent)</li> </ul>	190695589477320710360984265894091422375694233909158701965446106943727346702
<ul> <li>Non-zero mod 7 in (2+ 136Z)</li> </ul>	5} +
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Let  $\langle u_0, u_1, u_2, \ldots \rangle$  be a non-degenerate LRS with  $u_0 = 0$ . Assuming the p-adic Schanuel Conjecture, one can compute an integer  $M \ge 1$  such that, for all  $n \ge 1$ ,  $u_{nM} \ne 0$ . In other words, the subsequence  $\langle u_M, u_{2M}, u_{3M}, \ldots \rangle$  has no zeros.

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Accounts 🔿 Teams

#### SKOLEM: Solves the Skolem Problem for simple integer LRS

#### System Explanation Show/Hide

- · On the first line write the coefficients of the recurrence relation, separated by spaces.
- On the second line write an equal number of space-separated initial values.
- · The LRS must be simple, non-degenerate, and not the zero LRS.
- The tool will output all zeros (at both positive and negative indices), along with a completeness
  certificate.

#### Input area

Auto-fill examples: ShowHide

#### Input Format

 $a_1 \ a_2 \ \ldots \ a_k$ 

 $u_{\theta} \mid u_1 \mid \ldots \mid u_{k-1}$ 

where:

 $u_{n+k} \ = \ a_1 \cdot u_{n+k-1} \ + \ a_2 \cdot u_{n+k-2} \ + \ \ldots \ + \ a_k \cdot u_n$ 

Zero LRS Degenerate LRS Non-simple LRS Trivial Fibonacci Tribonacci Berstel se	guence [1] Order 5 [3] Order 6 [3] Reversible order 8 [3]
Manual input:	
6 -25 66 -120 150 -89 18 -1	
0 0 -48 -120 0 520 624 -2016	
Always render full LRS (otherwise restricted to 400 characters)	
I solemnly swear the LRS is non-degenerate (skips degeneracy check, it will timeout or break if the LRS is degenerate!)	
In the second s	
Ise GCD reduction (reduces initial values by GCD)	
Ise fast identification of mod-m (requires GCD reduction) (may result in non-minimal mod-m argument)	
Go Clear Stop	
Output area	
Zeros: 0, 1, 4	
Zero at 0 in (0+ 12) hide/show	LRS: u_{n} =
<ul> <li>p-adic non-zero in (0+ 136Z<sub>z0</sub>)</li> </ul>	-2/10131101/1209/4485800352055894034/84015095588900419130303354540/5409/091; 1} +
<ul> <li>Zero at 1 in (1+ 136Z) hide/show</li> </ul>	-50875717942553060846492761332069658239718750163652943951247535707239324495!
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<ul> <li>Non-zero mod 7 in (2+ 136Z)</li> </ul>	5} +

## The Skolem Landscape



#### The Skolem Landscape

# SKOLEM

simple

**Decidable** (subject to Skolem Conjecture & p-adic Schanuel Conjecture)

Independent correctness certificates non-simple

? (watch this space!) POSITIVITY

simple

???

non-simple

Diophantine hard!