Subsequences in Bounded Ranges: Matching and Analysis Problems

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Definition

- ▶ We call v a subsequence of w, where |w| = n, if there exist positions $1 \le i_1 < i_2 < \ldots < i_k \le n$, such that $v = w[i_1]w[i_2]\cdots w[i_k]$.
- ▶ We denote the set of all subsequences of length k of w by Subseq_k(w).
- ▶ If *v* is not a subsequence of *w* we call it an *absent subsequence*.
- ► We call an absent subsequence v of w a minimal absent subsequence (for short, MAS) of w if every proper subsequence of v is a subsequence of w.
- ► We call an absent subsequence v of w a shortest absent subsequence (for short, SAS) of w if |v| ≤ |v'| for any other absent subsequence v' of w.

Motivation: Subsequence Matching in Circular Words

Definition

(i) Two strings $u, w \in \Sigma^*$ are conjugate if and only if there are string x, y such that u = xy and w = yx. We call the conjugacy class of w the *circular word* w_{\circ} .

(ii) (u, n) is a (minimal) representative of w_{\circ} if and only if there is a conjugate w' of w such that $w' = u^{n/|u|}$ (and u is len-lexicographic smaller than every other representative of w_{\circ}).

Open Question (Hegedüs, Nagy 2016)

Can a minimal representative be calculated in linear time?

Definition

A string u is a subsequence of the circular word w_{\circ} if and only if there is a conjugate w' of w such that u is a subsequence of w'

Problem

Given two strings u, w, with |u| = m and |w| = n, decide whether u is a subsequence of w_{o} .

Idea: The set of conjugates of w equals the set of factors of length |w| of w^2 .

Generalization: Why restrict to w^2 and factors of length |w|?

Definition

We call v a p-subsequence of w, where |w| = n, if there exist a position 1 ≤ i ≤ n − p + 1, such that v is a subsequence of the bounded range w[i : i + p − 1].

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- ► We denote the set of all *p*-subsequences of length *k* of *w* by *p*-Subseq_k(*w*).
- ► If v is not a p-subsequence of w we call it an absent psubsequence.
- ► We call an absent *p*-subsequence *v* of *w* a *minimal absent p*-subsequence (for short, *p*-MAS) of *w* if every proper subsequence of *v* is a *p*-subsequence of *w*.
- ► We call an absent p-subsequence v of w a shortest absent psubsequence (for short, p-SAS) of w if |v| ≤ |v'| for any other absent subsequence v' of w.

Problems

Given two words $u, w \in \Sigma^*$, with lengths |u| = m and |w| = n, and non-negative integers p, k we analyse the following problems.

- ▶ *p*-Matching: Is *u* a *p*-subsequence of *w*?
- ▶ *p*-MAS: Is *u* a *p*-MAS of *w*?
- ► (p, k)-non-Universality: p-Subseq_k $(w) \neq \Sigma^k$.
- ▶ (p, k)-non-Equivalence: p-Subseq_k $(w) \neq p$ -Subseq_k(u).
- ▶ *p*-non-SAS: Is *u* not a *p*-SAS of *w*?

Subsequence	e Matching in Bounded Ranges
Input:	$u, w \in \Sigma^*$ with $ u = m, w = n$ and an integer p
	such that $m \leq p \leq n$
Question:	Is $u \neq p$ -subsequence of w ?

Naïve solution in O(np).

We present an O(mn) algorithm, which is optimal unless OVH fails.

If u is not part of the input the presented algorithm requires $O(\log p)$ space, which is also optimal by Ganardi, Hucke, Lohrey (2016).

We create an array $A[\cdot]$ with *m* entries. Then read *w* left-to-right and when reading the t^{th} letter of *w* update *A* such that A[i]contains the length of the shortest suffix of w[t - p + 1 : t]containing u[1:i] (or ∞ if it does not occur):

 $A[i] = \min\{|v| \mid v \text{ is suffix of } w[t-p+1:t], u[1:i] \in \text{Subseq}_i(v)\}.$

After any step, if $A[m] \leq p$ holds then u is a p-subsequence of w.

Given an instance (A, B, d) of OV with $A = \{a_1, \ldots, a_n\}$ and $B = \{b_1, \ldots, b_n\}$ where $v = v[1]v[2] \cdots v[d]$ for all $v \in A \cup B$. Definition

Let $\psi_A, \psi_B : \{0,1\}^* \to (\{0,1\} \cup \{\$\})^*$ be morphisms such that:

$$\psi_A(x) = \begin{cases} 01\$ & \text{if } x = 0, \\ 00\$ & \text{if } x = 1 \end{cases}$$
$$\psi_B(y) = y\$ \text{ for } y \in \{0, 1\}$$

Example:

 $\psi_A(1001) = 00\$01\$01\$00\$$ $\psi_A(0100) = 01\$00\$01\$01\$$ $\psi_B(1001) = 1\$0\$0\$1\$$

Next we construct two words W_A and U_B such that U_B occurs in W_A^2 as $|W_A|$ -subsequence if and only if there are orthogonal vectors $a \in A$ and $b \in B$:

 $W_{A} = [\psi_{A}(1^{d})][\psi_{A}(0^{d})][\psi_{A}(a_{1})][\psi_{A}(0^{d})] \dots [\psi_{A}(a_{n})][\psi_{A}(0^{d})][\psi_{A}(1^{d})]$ $U_{B} = [\psi_{B}(1^{d})][\psi_{B}(b_{1})][\psi_{B}(b_{2})] \dots [\psi_{B}(b_{n})][\psi_{B}(1^{d})]$

Minimal Abs	ent Subsequences w.r.t. Bounded Ranges	
Input:	$u,w\in \Sigma^*$ with $ u =m, w =n$ and an integ	er

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Question: Is *u* a *p*-MAS of *w*?

such that $m \leq p \leq n$

Answer can be computed in time O(mn), which is optimal unless OVH fails.

The algorithm implements the *p*-subsequence matching algorithm presented above as well as the greedy algorithm to check minimality from Kosche et al. 2021 tailored to bounded ranges.

For the conditional lower bound: reduction from *p*-subsequence matching.

Partial Words non-Universality Problem

- Input: A list of partial words $S = \{w_1, \dots, w_k\}$ over $\{0, 1\}$ of same length L.
- **Question:** Decide whether there is no word $v \in \{0, 1\}^L$ compatible with any of the partial words in *S*.

Definition

- A partial word u over Σ is a word over Σ ∪ {◊}. The positions i with u[i] ∈ Σ are called *defined*.
- A partial word u over Σ and a (full) word w over Σ are compatible if and only if |u| = |w| and u[i] = w[i] for all defined positions i of u.

Theorem (Manea, Tiseanu 2013)

Partial Words non-Universality is NP-complete and cannot be solved in subexponential time $2^{o(L)} poly(L, n)$ unless ETH fails.

k-non-Universality w.r.t. Bounded Range **Input:** $w \in \Sigma^*$ and integers p, k with |w| = n and $k \le p \le n$ **Question:** Is p-Subseq_k $(w) \ne \Sigma^k$?

Naïve solution is already optimal in $O(|\Sigma|^k \operatorname{poly}(n, k))$. For the conditional lower bound: reduction from the Partial Words non-Universality problem.

Let $S = \{w_1, \ldots, w_\ell\}$, with $|w_i| = L$ for all $1 \le i \le \ell$, be an instance of the Partial Word non-Universality problem. For every $w_i \in S$ let $u_i = u_i[1] \cdots u_i[L]$ where

$$u_i[j] = \begin{cases} 0\$, & \text{if } w_i[j] = 0\\ 1\$, & \text{if } w_i[j] = 1\\ 01\$, & \text{if } w_i[j] = \diamondsuit. \end{cases}$$

We construct an instance of k-non-Universality in Bounded Range with w = VU, k = 2L and p = |V| for

$$V = \$^{2L} (001101\$^{2L})^{L-1},$$

$$U = \$^{4L^2} u_1 \$^{4L^2} u_2 \$^{4L^2} \cdots \$^{4L^2} u_\ell \$^{4L^2}.$$

k-non-Equivalence w.r.t. Bounded RangeInput: $v, w \in \Sigma^*$ and integers p, k with |v| = m, |w| = nand $k \le p \le m, n$ Question:Is p-Subseq $_k(w) \ne p$ -Subseq $_k(v)$?

Reduction from k-non-Universality in Bounded Range: choose v to be k-universal.

Non-Shortest Absenst Subsequence w.r.t. Bounded RangeInput: $u, w \in \Sigma^*$ and an integer p with |u| = m, |w| = nand $m \leq p \leq n$ Question:Is u a p-SAS of w?

Reduction from k-non-Universality in Bounded Range: u is a p-SAS of w if and only if u is p-absent and p-Subseq_{k-1}(w) = Σ^{k-1} .

	Unbounded	Bounded Range
k-(non-)Universality	Linear	Exponential
k-(non-)Equivalency	Linear	Exponential
Matching	Linear	Rectangular
MAS	Linear	Rectangular
SAS	Linear	Exponential

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<i>k</i> -(non-)Universality	Linear	Exponential
<i>k</i> -(non-)Equivalency	Linear	Exponential
Matching	Linear	Rectangular
MAS	Linear	Rectangular
SAS	Linear	Exponential

Thank you for listening!