## A Universal Skolem Set of Positive Lower Density

F. Luca, J. Ouaknine, J. Worrell

MFCS 2022, Vienna

What is the simplest class of programs for which decidability of the Halting Problem is open?

What is the simplest class of programs for which decidability of the Halting Problem is open?

 $\begin{aligned} \mathbf{x} &:= \mathbf{a}; \\ \text{while } \mathbf{b} \cdot \mathbf{x} \neq \mathbf{0} \text{ do} \\ \mathbf{x} &:= \mathbf{M} \cdot \mathbf{x}; \end{aligned}$ 

What is the simplest class of programs for which decidability of the Halting Problem is open?

 $\begin{aligned} \mathbf{x} &:= \mathbf{a}; \\ \text{while } \mathbf{b} \cdot \mathbf{x} \neq \mathbf{0} \text{ do} \\ \mathbf{x} &:= \mathbf{M} \cdot \mathbf{x}; \end{aligned}$ 

### Halting Problem

<u>Instance</u>:  $\langle \mathbf{a} ; \mathbf{b} ; \mathbf{M} \rangle$ Question: Does this program halt? A linear recurrence sequence (LRS) is a sequence  $\langle u_0, u_1, u_2, \ldots \rangle$  in  $\mathbb{Q}$  such that there are constants  $a_1, \ldots, a_k$  and,  $\forall n \ge 0$ :  $u_{n+k} = a_1 u_{n+k-1} + a_2 u_{n+k-2} + \ldots + a_k u_n$ .

A linear recurrence sequence (LRS) is a sequence  $\langle u_0, u_1, u_2, \ldots \rangle$  in  $\mathbb{Q}$  such that there are constants  $a_1, \ldots, a_k$  and,  $\forall n \ge 0$ :  $u_{n+k} = a_1 u_{n+k-1} + a_2 u_{n+k-2} + \ldots + a_k u_n$ .

 $\bullet$  e.g. the Fibonacci numbers  $\langle 0,1,1,2,3,5,8,\ldots\rangle$ 

A linear recurrence sequence (LRS) is a sequence  $\langle u_0, u_1, u_2, \ldots \rangle$  in  $\mathbb{Q}$  such that there are constants  $a_1, \ldots, a_k$  and,  $\forall n \ge 0$ :  $u_{n+k} = a_1 u_{n+k-1} + a_2 u_{n+k-2} + \ldots + a_k u_n$ .

- $\bullet$  e.g. the Fibonacci numbers  $\langle 0,1,1,2,3,5,8,\ldots\rangle$
- k is the **order** of the sequence
  - Fibonacci has order 2  $(u_{n+2} = u_{n+1} + u_n)$

A linear recurrence sequence (LRS) is a sequence  $\langle u_0, u_1, u_2, \ldots \rangle$  in  $\mathbb{Q}$  such that there are constants  $a_1, \ldots, a_k$  and,  $\forall n \ge 0$ :  $u_{n+k} = a_1 u_{n+k-1} + a_2 u_{n+k-2} + \ldots + a_k u_n$ .

- $\bullet$  e.g. the Fibonacci numbers  $\langle 0,1,1,2,3,5,8,\ldots\rangle$
- k is the **order** of the sequence
  - Fibonacci has order 2  $(u_{n+2} = u_{n+1} + u_n)$
- **Exponential-polynomial** closed form:  $u_n = \sum_{i \in I} C_i(n) \lambda_i^n$ :

A linear recurrence sequence (LRS) is a sequence  $\langle u_0, u_1, u_2, \ldots \rangle$  in  $\mathbb{Q}$  such that there are constants  $a_1, \ldots, a_k$  and,  $\forall n \ge 0$ :  $u_{n+k} = a_1 u_{n+k-1} + a_2 u_{n+k-2} + \ldots + a_k u_n$ .

- $\bullet$  e.g. the Fibonacci numbers  $\langle 0,1,1,2,3,5,8,\ldots\rangle$
- k is the **order** of the sequence
  - Fibonacci has order 2  $(u_{n+2} = u_{n+1} + u_n)$
- **Exponential-polynomial** closed form:  $u_n = \sum_{i \in I} C_i(n) \lambda_i^n$ :

#### Problem SKOLEM

Instance: An LRS  $\langle u_0, u_1, u_2, \ldots \rangle$ Question: Does  $\exists n \ge 0$  such that  $u_n = 0$ ?



# Decidability is Open!

"It is faintly outrageous that this problem is still open; it is saying that we do not know how to decide the Halting Problem even for 'linear' automata!"

Terence Tao



"It is faintly outrageous that this problem is still open; it is saying that we do not know how to decide the Halting Problem even for 'linear' automata!"







"... a mathematical embarrassment ... "

**Richard Lipton** 

### Theorem (Skolem 1934; Mahler 1935, 1956; Lech 1953)

Let  $\langle u_n \rangle$  be a **non-degenerate** linear recurrence sequence that is not identically zero. Then the set  $\{n : u_n = 0\}$  is finite.

### Theorem (Skolem 1934; Mahler 1935, 1956; Lech 1953)

Let  $\langle u_n \rangle$  be a **non-degenerate** linear recurrence sequence that is not identically zero. Then the set  $\{n : u_n = 0\}$  is finite.

• Skolem's proof used *p*-adic analysis and is ineffective.

### Theorem (Skolem 1934; Mahler 1935, 1956; Lech 1953)

Let  $\langle u_n \rangle$  be a **non-degenerate** linear recurrence sequence that is not identically zero. Then the set  $\{n : u_n = 0\}$  is finite.

- Skolem's proof used *p*-adic analysis and is ineffective.
- From the early 2000s: series of papers that use the Subspace Theorem in Diophantine approximation to give explicit upper bounds on the number of zeros of a non-degenerate LRS.

### Theorem (folklore)

For orders 1 and 2, Skolem is decidable.

### Theorem (folklore)

For orders 1 and 2, Skolem is decidable.

### Theorem (Mignotte, Shorey, Tijdeman 1984; Vereshchagin 1985)

For orders 3 and 4, Skolem is decidable.

### Theorem (folklore)

For orders 1 and 2, Skolem is decidable.

### Theorem (Mignotte, Shorey, Tijdeman 1984; Vereshchagin 1985)

For orders 3 and 4, Skolem is decidable.

Critical ingredient is Baker's theorem for linear forms in logarithms, which earned Baker the Fields Medal in 1970.



### Theorem (folklore)

For orders 1 and 2, Skolem is decidable.

### Theorem (Mignotte, Shorey, Tijdeman 1984; Vereshchagin 1985)

For orders 3 and 4, Skolem is decidable.

Critical ingredient is Baker's theorem for linear forms in logarithms, which earned Baker the Fields Medal in 1970.



#### Corollary

The Halting Problem is decidable for loops with at most 4 variables

#### Definition

Define  $S \subseteq \mathbb{N}$  to be a **Universal Skolem Set** if there is an effective procedure that inputs an integer linear recurrence sequence  $\langle u_n \rangle$  and outputs whether or not there exists  $n \in S$  with  $u_n = 0$ .

#### Definition

Define  $S \subseteq \mathbb{N}$  to be a **Universal Skolem Set** if there is an effective procedure that inputs an integer linear recurrence sequence  $\langle u_n \rangle$  and outputs whether or not there exists  $n \in S$  with  $u_n = 0$ .

#### Example

Define  $f : \mathbb{N}_+ \to \mathbb{N}$  by  $f(n) = \lfloor \sqrt{\log n} \rfloor$ . Write  $s_0 := 1$  and, inductively set  $s_n := n! + s_{f(n)}$  for n > 0. Then  $S := \{s_n : n \in \mathbb{N}\}$ is a Universal Skolem Set.

#### Definition

Define  $S \subseteq \mathbb{N}$  to be a **Universal Skolem Set** if there is an effective procedure that inputs an integer linear recurrence sequence  $\langle u_n \rangle$  and outputs whether or not there exists  $n \in S$  with  $u_n = 0$ .

#### Example

Define  $f : \mathbb{N}_+ \to \mathbb{N}$  by  $f(n) = \lfloor \sqrt{\log n} \rfloor$ . Write  $s_0 := 1$  and, inductively set  $s_n := n! + s_{f(n)}$  for n > 0. Then  $S := \{s_n : n \in \mathbb{N}\}$ is a Universal Skolem Set.

• S has density zero:

$$|\mathcal{S} \cap \{1,\ldots,n\}| \sim \frac{\log n}{\log \log n}$$

• A representation of a positive integer *n* is a triple (*P*, *q*, *a*) such that n = Pq + a, *P* is prime, and *q*, *a* are  $o(\log n)$ .

- A representation of a positive integer *n* is a triple (*P*, *q*, *a*) such that n = Pq + a, *P* is prime, and *q*, *a* are  $o(\log n)$ .
- Define  $\mathcal{U}$  to be the set of positive integers *n* with "many" (at least log<sub>4</sub> *n*) representations.

- A **representation** of a positive integer *n* is a triple (*P*, *q*, *a*) such that n = Pq + a, *P* is prime, and *q*, *a* are  $o(\log n)$ .
- Define  $\mathcal{U}$  to be the set of positive integers *n* with "many" (at least log<sub>4</sub> *n*) representations.

#### Theorem

The set  $\mathcal{U}$  is a Universal Skolem Set of positive lower density.

### • An LRS is simple if its characteristic roots are simple

- An LRS is simple if its characteristic roots are simple
- Closed-form is a power sum  $u_n = \sum_{i \in I} C_i \lambda_i^n$ :

- An LRS is simple if its characteristic roots are simple
- Closed-form is a power sum  $u_n = \sum_{i \in I} C_i \lambda_i^n$ :

$$F_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n$$

• An LRS is simple if its characteristic roots are simple

• Closed-form is a power sum  $u_n = \sum_{i \in I} C_i \lambda_i^n$ :

$$F_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n$$

• Simple LRS correspond precisely to diagonalisable matrices

## Exponential Diophantine Equations in Multiple Variables

## Exponential Diophantine Equations in Multiple Variables

There are explicit upper bounds on number of solutions *n* of  $\sum_{i \in I} C_i \lambda_i^n = 0$ .

There are explicit upper bounds on number of solutions *n* of  $\sum_{i \in I} C_i \lambda_i^n = 0$ .

### Theorem (Schlickewei and Schmidt 2000)

Let  $\alpha_i, \beta_i, C_i$  be non-zero algebraic numbers for  $i = 1, ..., \ell$ , Excepting some degenerate cases, the equation

$$\sum_{i=1}^{\ell} C_i \alpha_i^n \beta_i^m = 0 \tag{1}$$

has finitely many solutions in integers n, m. Moreover there is an explicit upper bound on the number of solutions in terms of  $\ell$  and the degree of the  $\alpha_i, \beta_i, C_i$ .

• Consider non-degenerate simple LRS  $u_n := \sum_{i \in I} C_i \lambda_i^n$ :

## ${\mathcal U}$ is a Universal Skolem Set

• Consider non-degenerate **simple** LRS  $u_n := \sum_{i \in I} C_i \lambda_i^n$ :

### Proposition

If  $u_n = 0$  and n has decomposition n = qP + a then q, a solve a "companion equation".

## ${\mathcal U}$ is a Universal Skolem Set

• Consider non-degenerate simple LRS  $u_n := \sum_{i \in I} C_i \lambda_i^n$ :

## Proposition If $u_n = 0$ and n has decomposition n = qP + a then q, a solve a "companion equation".

• Previous result of Schlickewei–Schmidt induces upper bound on number of representations of *n*.

## ${\mathcal U}$ is a Universal Skolem Set

• Consider non-degenerate simple LRS  $u_n := \sum_{i \in I} C_i \lambda_i^n$ :

## Proposition If $u_n = 0$ and n has decomposition n = qP + a then q, a solve a "companion equation".

• Previous result of Schlickewei–Schmidt induces upper bound on number of representations of *n*.

#### Proposition

Let  $\langle u_n \rangle$  be a non-degenerate simple LRS. Then there is a computable upper bound on the set  $\{n \in \mathcal{U} : u_n = 0\}$ .

## On the Density of $\ensuremath{\mathcal{U}}$

Theorem

The set  $\mathcal{U}$  has positive lower density.

#### Theorem

The set  $\mathcal{U}$  has positive lower density.

**Proof.** Count pairs of representations Pq + a = P'q' + a' that coincide.

#### Theorem

The set  $\mathcal{U}$  has positive lower density.

**Proof.** Count pairs of representations Pq + a = P'q' + a' that coincide.

### Theorem (Sieve)

Let 
$$a_1, a_2, b_1, b_2 \in \mathbb{Z}$$
 be such that  $|a_1a_2(a_1b_2 - a_2b_1)| \neq 0$ . Then  
 $|\{t \le X : a_1t + b_1, a_2t + b_2 \text{ both prime}\}| \ll \frac{X}{(\log X)^2}$ .

"In investigations concerning the asymptotic properties of arithmetic functions, it is often possible to make an interesting use of heuristic arguments."

Harald Cramér



"In investigations concerning the asymptotic properties of arithmetic functions, it is often possible to make an interesting use of heuristic arguments."



Harald Cramér

Cramér conjecture:

$$\max_{p_n\leq x}(p_{n+1}-p_n)=O(\log^2 x)$$

"In investigations concerning the asymptotic properties of arithmetic functions, it is often possible to make an interesting use of heuristic arguments."



Harald Cramér

Cramér conjecture:

$$\max_{p_n\leq x}(p_{n+1}-p_n)=O(\log^2 x)$$

"The exact formulation of Cramér's conjecture has been called into question. It is still probably true that for all c > 2,

$$\max_{p_n \leq x} (p_{n+1} - p_n) = O(\log^c x)$$

Adelman and McCurley

### Heuristics for the Skolem Problem

#### Theorem

There is a set  $\mathcal{U}$  of positive lower density for which there is an algorithm that takes as input a non-degenerate LRS and outputs its set of zeros in  $\mathcal{U}$ .

#### Theorem

There is a set  $\mathcal{U}$  of positive lower density for which there is an algorithm that takes as input a non-degenerate LRS and outputs its set of zeros in  $\mathcal{U}$ .

 $\bullet\,$  Cramér heuristic suggests that  ${\cal U}$  has density one.